



**PAUL K. BATES**  
**MTS., FCPA, FCMA, CMC. DPT (in course)**  
**Assistant Professor, McMaster University**

Paul K. Bates is a former Chief Executive Officer in the Capital Markets sector, following which he served as a Commissioner with the Ontario Securities Commission, followed by seven years as an Academic Dean. He is now focused on a portfolio of graduate-level teaching, governance and consulting, in corporate, crown and regulatory roles, together with a commitment to community service through his church, where he serves as Deacon.

His career has included: broad crown, corporate (public & private) and not-for-profit governance, together with investment dealer executive leadership (including 'de novo' start-ups with own funds and raised capital. Paul's experience encompasses senior and executive management in retail financial services, and consulting in the financial services sector.

**Awards and Recognition Include:**

- Received the Queen's Jubilee Medal for Community Service (2002).
- Named "Canada's most influential broker" by Investment Executive Magazine (2002).
- Conferred Fellow of the Society of Management Accountants of Canada (FCMA) (2010). See [www.cma-ontario.org/7/3/9/5/index1.shtml](http://www.cma-ontario.org/7/3/9/5/index1.shtml). and Conferred Fellow of CPA Ontario (2014).
- Listed in Canadian Who's Who each year since 1989.

Paul also co-authored a book with Al Emid, *What I have Learned so Far and How It Can Help You*.